

01-08-09

JCT 784 U.S. PTO  
10/19/01

Please type a plus sign (+) inside this

Approved for use through 10/31/2002. OMB 0551-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 35]  
(Preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 7]  
1
5. Oath or Declaration [Total Pages 2]  
1
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: \_\_\_\_\_ Examiner \_\_\_\_\_

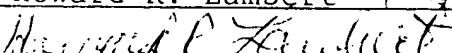
Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below
Name	Howard R. Lambert		
Address	5245 Gatewood Lane		
City	Anaheim	State	CA
Country	U.S.A.	Telephone	(714) 637-5678
Country	U.S.A.	Telephone	(714) 637-5678

5678

Name (Print/Type)	Howard R. Lambert	Registration No. (Attorney/Agent)	27,206
Signature			

Date 10-16-2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/6/01  
COPY OF PAPERS  
ORIGINALLY FILED



JC932 U.S. PRO 10/039625  
10/19/01

Attorney's Docket No. L-1610

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor: **Michael D. Vander Vorste and Peter S. Pulizzi**

For: **NEMA-TYPE AC POWER OUTLET CONNECTORS**

**1. Type of Application**

This new application is for a(n)

- Original (non-provisional) utility
- Design
  - Plant
- Divisional.
- Continuation.
- Continuation-in-part (C-I-P).

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

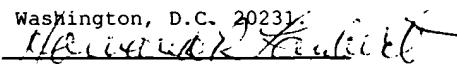
- none

**3. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

- 29 Pages of specification
- 5 Pages of claims
- 1 Page of Abstract
- 7 Sheets of drawing
  - formal
  - informal

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 19, 2001 in an envelope as Express Mail, Post Office to Addressee Mailing Label Number ET420113532US addressed to: Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of person mailing paper

**4. Additional papers enclosed:**

- Preliminary Amendment
- Information Disclosure Statement (37 CFR 1.98)
- Form PTO-1449
- Power of Attorney
- Form PTO/SB/17 Fee Transmittal for FY 2001
- Form PTO/SB/05 Utility Patent Application Transmittal

**5. Declaration or oath:**

- Inventors Michael D. Vander Vorste and Peter S. Pulizzi
- Legal representative of inventor(s). 37 CFR 1.42 or 1.43.
- Not Enclosed.

**6. Assignment:**

- An assignment of the invention to PULIZZI ENGINEERING INC.
- Is attached.
- Will follow.

**7. Fee Calculation (37 CFR 1.16)****CLAIMS AS FILED**

	Number filed	Number extra	Rate (Small Entity)	Basic Fee 37 CFR 1.16(b) \$370.00
<b>Total</b> Claims (37 CFR 1.16(c))	24- 20 =	4 x	\$ 9.00=	\$ 36.00
<b>Independent</b> Claims (37 CFR 1.16(b))	4 - 3 =	1 x	\$ 42.00=	\$ 42.00
<b>Multiple dependent claim(s), if any (37 CFR 1.16(d))</b>		-	\$135.00=	\$ 0.00

- Amendment canceling extra claims enclosed.
- Amendment deleting multidependencies enclosed.
- Fee for extra claims is not being paid at this time.

**Filing Fee Calculation** \$ 448.00

**8. Small Entity Statement(s):**

- Applicants' assert that Application is entitled to Small Entity status.
- Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9c is attached.
- Status as a small entity was claimed in prior application serial No. \_\_\_\_\_, filed on \_\_\_\_\_ from which benefit is being claimed for this application under:

35 U.S.C.  119(e),  
 120,

121,  
 365(c).

and which status as a small entity is still proper and desired.

A copy of the verified statement in the prior application is included.

**9. Request for International-Type Search (37 CFR 1.104(d)):**

Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**10. Fee Payment Being Made at This Time:**

<input type="checkbox"/> Not Enclosed	
<input type="checkbox"/> No filing fee is to be paid at this time.	
<input checked="" type="checkbox"/> Enclosed	
<input checked="" type="checkbox"/> Basic filing fee	\$ 448.00
<input checked="" type="checkbox"/> Recording assignment (\$ 40.00: 37 CFR 1.21(h)) (See attached cover sheet for "ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$ 40.00
<input type="checkbox"/> For processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$ _____
<input type="checkbox"/> Processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
<input type="checkbox"/> Fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$ _____
<b>TOTAL FEES ENCLOSED</b>	<b>\$ 488.00</b>

**11. Method of Payment of Fees**

Check number 124 in the amount of \$ 488.00  
 Charge Account No. 12-0432 in the amount of \$ \_\_\_\_\_  
 A duplicate of this transmittal is attached.

**15. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-0432.

37 CFR 1.16(a), (f) or (g) (filing fees)  
 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)  
 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)  
 37 CFR 1.17 (application processing fees)

**16. Instructions as to Overpayment:**

Credit Account No. 12-0432  
 Refund

*Howard R. Lambert*  
 SIGNATURE OF ATTORNEY

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